

## **CONTENTS**

### **Unit I—AN OVERVIEW OF CAPITAL AND COMMODITIES MARKETS:**

Primary Market, Secondary Market (Stock Market), Depositories, Private placements of shares / Buy back of shares, Issue mechanism. Meaning of commodities and Commodities market, differences between stock market and commodities market.

### **Unit II—STOCK MARKET:**

History, Membership, Organisation, Governing body, Functions of stock Exchange, on line trading, role of SEBI, Recognized Stock Exchanges in India (brief discussion of NSE and BSE). Derivatives on stocks: meaning, types (in brief).

### **Unit III—TRADING IN STOCK MARKET:**

Patterns of Trading & Settlement – Speculations – Types of Speculations – Activities of Brokers – Broker Charges – Settlement Procedure, National Securities Depository Ltd. (NSDL), Central Securities Depository Ltd. (CSDL) (in brief).

### **Unit IV—COMMODITIES MARKET:**

History, Membership, objectives, *functions of commodities exchange*, Organisation and *role of a commodity exchange*, Governing Body, Types of Transactions to be dealt in commodity Market –physical market, Futures market - Differences between Physical & Future Market, options on commodities exchanges.

### **Unit V—TRADING IN COMMODITY MARKETS:**

Patterns of Trading & Settlement, Efficiency of Commodity Markets - Size of Commodity

- Chapter—1 An overview of capital and commodities markets**
- Chapter—2 Primary Market**
- Chapter—3 Issue Management**
- Chapter—4 Private Placement**
- Chapter—5 Derivatives**
- Chapter—6 Stock Market**
- Chapter—7 Trading in Stock Market**
- Chapter—8 Securities and Exchange Board of India**
- Chapter—9 Depository and custodial services**
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